Amendment to legal inspection requirements for hot cathode fluorescent lamps

By the Bureau of Standards, Metrology and Inspection (BSMI), Ministry of Economic Affairs (MOEA)

Introduction:

The Bureau of Standards, Metrology and Inspection adopts the most recent version of standard, CNS 691 "Fluorescent lamps (for general lighting service)," published on 15 August 2019, as the inspection standard for hot cathode fluorescent lamps. To phase out mercury-added products in line with the goal of Minamata Convention on Mercury, this revised version requires the content of mercury at not more than 4mg. The requirements for restriction of hazardous substances and the applicable conformity assessment procedures remain unchanged.

Date of implementation:

1 January 2021

Description of Goods	Inspection Standards	C.C.C. Code (the first 6 digits are the same as HS Code)(Reference)	
Hot Cathode Fluorescent Lamp	1.CNS 691 (2019) 2.Section 5 "Marking of presence" of CNS 15663 (2013)	8539.31.00.00.7A	

Description of the two kinds of conformity assessment procedures

1. Registration of Product Certification (RPC) Scheme : Modules II + III

Under the II+III combination of modules used in this scheme, domestic manufacturers or importers must have their products type-tested in advance (Module II) by the BSMI or BSMI-designated testing laboratories before applying for registration of their products. Manufacturers or importers will also be required to ensure by declaration (Module III, conformity-to-type declaration) that all products made at their manufacturing facilities or imported are in conformity with the prototypes submitted for type-test at Module II stage. The conformity-to-type declaration shall be drawn up by the manufacturer or the authorized local representative, declaring that the mass-produced products comply with the prototype as in the type-test report.

After being certified and registered by the BSMI, products will be allowed to use the Commodity Inspection Mark with the letter 'R' and the identification number given by the BSMI. Additionally, these products can clear customs directly without any further inspection if not being sampled by RPC border check procedures. The application fee and annual fee for RPC are both NT\$5,000 (about US\$170) for each certification, and the RPC certification is valid for 3 years. If there are any serial products, an extra NT\$3,000 (about US\$100) of application fee will be charged for every application in each certification. The fees for type testing vary by products and depend on the fee policies of the testing laboratories.

Further information on this procedure is available on the BSMI website at <u>https://www.bsmi.gov.tw/wSite/ct?xItem=4447&ctNode=9452&mp=2</u>

2. Type-Approved Batch Inspection (TABI) Scheme

Under this procedure, manufacturers or importers shall have their products type-tested by the BSMI or the BSMI-designated testing laboratories, and file an application for Type Approval to the BSMI or its branches.

After manufacturers or importers obtain a Type Approval certificate, they are required to file an application for batch inspection to the BSMI each time before their products are released from the production premises or arrive at the port of entry. The BSMI will then review the application and the related documents while additional samples may be required for further testing if it is deemed necessary.

After the products have passed the inspection, they will be allowed to use the Commodity Inspection

Mark with the letter 'T' and the identification number given by the BSMI. The application fee for a Type Approval is NT\$3,500, and a Type Approval certificate is valid for 3 years. The fees for type testing vary by products and depend on the fee policies of the testing laboratories.

Further information on this procedure is also available on the BSMI web site at <u>https://www.bsmi.gov.tw/wSite/ct?xItem=4470&ctNode=9452&mp=2</u>

Related requirements:

- 1. The revised inspection standards of the commodities listed above will come into force on the date of announcement and the current inspection standards will become invalid from 1 January 2021.
- 2. Processing of applications:
- (1) Replacement:

Before 1 January 2021, the certificate holders shall prepare type-test report in compliance with revised inspection standards of CNS 691 to apply for replacing the certificate(s) from the BSMI or its branches, the expiry date of the replaced certificate is the same as that of the original certificate. Otherwise, certificate(s) will be rescinded based on Paragraph 1, Article 16 of the "Regulations Governing Type Approval of Commodities" or Paragraph 9, Article 42 of the "Commodity Inspection Act".

(2) New application or extension:

From the date of publication, applicants shall prepare type-test report in accordance with the revised inspection standards, documents related to the location of the marking of presence, sample of the marking of presence (see Table 1 and Table 2), and the "Declaration of the Presence Condition of the Restricted Substances Marking" to apply for certificate(s). The validity period of a new certificate will be 3 years from the date of issuance while an extended one lasts 3 years since the next day of the previous expiration date. If applicants apply for certificate(s) in accordance with the original inspection standards, the expiry date of the certificate will be only valid till 31 December 2020. From 1 January 2021, applicants shall file a new application or extension only in accordance with the revised inspection standards.

- 3. The applicable inspection schemes, applicable conformity assessment modules of Registration of Product Certification(RPC), the term of validity of certificates, inspection fees of the commodities remain unchanged.
- 4. The certificate holders of the commodities shall follow the content stipulated in Section 5 "Marking of presence" of CNS 15663 and clearly mark "the presence condition of the restricted substances" on the body, packages, stickers, or the instruction books of the commodities. Those who utilize website as a means to announce "the presence condition of the restricted substances" of the commodities shall also clearly mark the website address on the body, packages, stickers, or the instruction books of the commodities. In that case, the requirements of Section 5.3 of CNS 15663 are not applicable to the positions of the markings.
- 5. The Commodity Inspection Mark:
 - (1) The Commodity Inspection Mark shall be printed by the certificate holders. The identification number of the Commodity Inspection Mark consists of "A Letter (R or T)," "Designated Code (5 digits)" and "the presence conditions of the restricted substance" (e.g., RoHS or RoHS(XX,XX)).
 - (2) The identification number shall be placed below or right next to the graphic symbol and "the presence conditions of the restricted substance" shall be indicated in the second row.
 - (3) The size of the Mark can be applied proportionally on a prominent location of the commodities. The Mark shall use materials that are not easily altered, and the content shall be in a clearly identifiable and indelible form affixed permanently to the commodity.

(4) For RPC scheme, the examples of the Commodity Inspection Mark are listed below:



(5) For TABI scheme, the examples of the Commodity Inspection Mark are listed below:



(6) "RoHS" indicates "the content of restricted substance(s), other than exemptions stated in CNS 15663, does not exceed the reference percentage value of presence condition.

"RoHS(XX,XX)" indicates the content of restricted substance(s) (element XX, element XX, ...), other than exemptions stated in CNS 15663, exceeds the reference percentage value of presence condition.

Restricted substances: Pb, Cd, Hg, Cr⁺⁶, PBB, and PBDE.

Examples:

- RoHS (Pb) indicates that the percentage content of Pb in certain parts of the commodity exceeds the reference percentage value specified in Annex A to CNS 15663.
- RoHS (Cd, Cr⁺⁶, PBB) indicates that the percentage content of Cd, Cr⁺⁶, and PBB in certain parts of the commodity exceeds the respective reference percentage value specified in Annex A to CNS 15663.
- 6. The C.C.C. Code listed in the table is used for reference only. The commodity listed in the table shall still complete the inspection procedures before entering into the market even though their C.C.C. Code is identified differently by the Customs Administration, Ministry of Finance or Bureau of Foreign Trade, Ministry of Economic Affairs.
- 7. The inspection standards of the products listed in the table shall be the version published in this announcement. If any updated version is available, the BSMI shall publish the implementation date of the updated version in further announcement.

Table 1. Example of markings for the presence conditions of the restricted substances exceed the reference percentage value of presence conditions

Equipment name: Hot Cathode Fluorescent Lamp, Type designation: XXX							
	Restricted substances and its chemical symbols						
Unit	Lead	Mercury	Cadmium	Hexavalent	Polybrominated	Polybrominated	
				chromium	biphenyls	diphenyl ethers	
	(Pb)	(Hg)	(Cd)	(Cr ⁺⁶)	(PBB)	(PBDE)	
Сар	Exceeding 0.1 wt %	0	0	0	0	0	
Tube	0	0	0	0	0	0	
Filler	0	-	0	\bigcirc	0	0	
Electrode	0	0	0	\bigcirc	0	0	
Note 1: "Exceeding 0.1 wt %" and "exceeding 0.01 wt %" indicate that the percentage content of the							
restricted substance exceeds the reference percentage value of presence condition.							
Note 2: "〇" indicates that the percentage content of the restricted substance does not exceed the							
percentage of reference value of presence.							
Note 3: The "-" indicates that the restricted substance corresponds to the exemption.							

Table 2. Example of markings for the content of restricted substances other than exemption do not exceedthe reference percentage value of presence condition

Equipment name: Hot Cathode Fluorescent Lamp, Type designation: YYY							
	Restricted substances and its chemical symbols						
Unit	Lead	Mercury	Cadmium	Hexavalent	Polybrominated	Polybrominated	
				chromium	biphenyls	diphenyl ethers	
	(Pb)	(Hg)	(Cd)	(Cr ⁺⁶)	(PBB)	(PBDE)	
Сар	0	0	0	0	0	0	
Tube	0	0	0	0	0	0	
Filler	0	-	0	0	0	\bigcirc	
Electrode	0	0	0	0	0	0	
Note 1: "O" indicates that the percentage content of the restricted substance does not exceed the							
percentage of reference value of presence.							
Note 2: The "-" indicates that the restricted substance corresponds to the exemption.							